

Please type a plus sign (+) inside this box → ☐

09/890,955

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL
FORM**

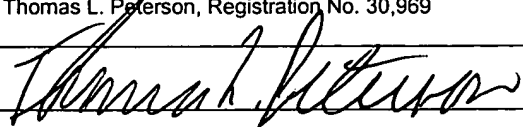
(to be used for all correspondence after initial filing)

Application Number	09/890,955
U.S. National Stag of International Application Number	PCT/GB00/00412
Filing Date	August 8, 2001
First Named Inventor	Daniel NORRIS
Group Art Unit	TBA
Examiner Name	TBA
Attorney Docket Number	005451.00001

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement/ Form PTO-1449 <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Notification of Missing Requirements Under 35 USC 371 (Return Copy); Sole Declaration For Patent Application (2 pp., Executed Facsimile); International Search Report		
<table><tr><td>Remarks</td><td></td></tr></table>			Remarks	
Remarks				

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Thomas L. Peterson, Registration No. 30,969
Signature	
Date	February 19, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <input type="text"/>			
Typed or printed name			
Signature		Date	

**FEE TRANSMITTAL
for FY 2002**

Patent fees are subject to annual revision.


Complete if Known

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TOTAL AMOUNT OF PAYMENT (\$) 200

Attorney Docket No. 005451.00001

METHOD OF PAYMENT (check all that apply)					FEE CALCULATION (continued)																																																																																																																																																					
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None. <input checked="" type="checkbox"/> Deposit Account: Deposit Account Number: 19-0733 Deposit Account Name: Banner & Witcoff, Ltd. The Commissioner is authorized to: (check all that apply) <input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.					3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr> <tr><td>139</td><td>130</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>1,260</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>460*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>920*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>400</td><td>200</td><td>Extension for reply within second month</td><td>200</td></tr> <tr><td>117</td><td>920</td><td>460</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,440</td><td>720</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,960</td><td>980</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>320</td><td>160</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>320</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>280</td><td>140</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>755</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>141</td><td>1,280</td><td>640</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>142</td><td>1,280</td><td>640</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>460</td><td>230</td><td>Design issue fee</td><td></td></tr> <tr><td>144</td><td>620</td><td>310</td><td>Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>65</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>25</td><td>Processing fee under 37 CFR 1.17 (q)</td><td></td></tr> <tr><td>126</td><td>180</td><td>90</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581</td><td>40</td><td>20</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>146</td><td>740</td><td>370</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>149</td><td>740</td><td>370</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td>179</td><td>740</td><td>370</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>169</td><td>900</td><td>450</td><td>Request for expedited examination of a design application</td><td></td></tr> </tbody> </table>					Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid	105	130	65	Surcharge - late filing fee or oath		127	50	25	Surcharge - late provisional filing fee or cover sheet.		139	130	130	Non-English specification		147	2,520	1,260	For filing a request for reexamination		112	920*	460*	Requesting publication of SIR prior to Examiner action		113	1,840*	920*	Requesting publication of SIR after Examiner action		115	110	55	Extension for reply within first month		116	400	200	Extension for reply within second month	200	117	920	460	Extension for reply within third month		118	1,440	720	Extension for reply within fourth month		128	1,960	980	Extension for reply within fifth month		119	320	160	Notice of Appeal		120	320	160	Filing a brief in support of an appeal		121	280	140	Request for oral hearing		138	1,510	755	Petition to institute a public use proceeding		140	110	55	Petition to revive - unavoidable		141	1,280	640	Petition to revive - unintentional		142	1,280	640	Utility issue fee (or reissue)		143	460	230	Design issue fee		144	620	310	Plant issue fee		122	130	65	Petitions to the Commissioner		123	50	25	Processing fee under 37 CFR 1.17 (q)		126	180	90	Submission of Information Disclosure Stmt		581	40	20	Recording each patent assignment per property (times number of properties)		146	740	370	Filing a submission after final rejection (37 CFR § 1.129(a))		149	740	370	For each additional invention to be examined (37 CFR § 1.129(b))		179	740	370	Request for Continued Examination (RCE)		169	900	450	Request for expedited examination of a design application	
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SUBMITTED BY				Complete (if applicable)	
Name (Print/Type)	Thomas L. Peterson	Registration No. Attorney/Agent)	30,969	Telephone	202-508-9100
Signature				Date	02/19/02

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:)
Daniel NORRIS) Group Art Unit: TBA
Serial No.: 09/890,955)
U.S. National Stage of) Examiner: TBA
International Application No.: PCT/GB00/00412)
Filed: August 8, 2001) Attorney Docket No.: 005451.00001
For: A COMMUNICATIONS SYSTEM AND)
METHOD)

RESPONSE TO NOTIFICATION OF
MISSING REQUIREMENTS UNDER 35 USC 371

BOX PCT
Assistant Commissioner of Patents
Washington, D. C. 20231

Sir:

In response to the Notification of Missing Requirements mailed October 17, 2001, for which the period for response is extended to February 17, 2002, Applicants submit herewith the executed Sole Declaration/Power of Attorney to complete the filing of the instant application.

FEEES

The Commissioner is authorized to charge the requisite fees, as calculated below, to Deposit Account No. 19-0733.

Application Filing Fee	
Excess Independent Claims over 3	
Excess Dependent Claims over 20	
Extension of Time Fee	200
Late Filing Surcharge	
SUBTOTAL	200

In the event of Applicants' miscalculation of fees, the Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account No. 19-0733.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Thomas L. Peterson", written in a cursive style.

Thomas L. Peterson
Reg. No. 30,969

Date: February 19, 2002

Banner & Witcoff, Ltd.
1001 G Street, N.W.
Washington, D. C. 20001-4597
(202) 508-9100

TLF

☒ Commissioner For Patents, Box PCT, United States Patent and Trademark Office, Washington, D.C. 20231, www.uspto.gov

U.S. APPLICATION NUMBER NO. 09/890,955	FIRST NAMED APPLICANT Daniel Norris	ATTY. DOCKET NO. 05451.00001
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INTERNATIONAL APPLICATION NO.
PCT/GB00/00412

I.A. FILING DATE 02/09/2000	PRIORITY DATE 02/09/1999
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Thomas L Peterson
Banner & Witcoff
Eleventh Floor
1001 G Strret N W
Washington, DC 20001-4597

RECEIVED

OCT 19 2001

BANNER & WITCOFF LTD.

CONFIRMATION NO. 9836
371 FORMALITIES LETTER

OC00000006905794

Date Mailed: 10/17/2001

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Request for Immediate Examination
- Small Entity Statement
- Substitute Specification

05451.00001
DOCKETED
MISSING Requirements
OCT 19 2001
17 DEC 2001
17 May 2002: LAST DAY

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

JOHN L ANDERSON

Telephone: (703) 308-9116

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/890,955	PCT/GB00/00412	05451.00001